PACIFIC PREMIER TRUST
A Division of Pacific Premier Bank

Transfer Initiation Form (TIF)

1
COMPLETE FORM

INSTRUCTIONS

Complete this form, along with any additional documents, to transfer account assets in-kind to the new custodian/trustee. Failure to fully complete the form(s) or attach appropriate documents and any other documentation that is required by the delivering custodian, may result in a delay in the processing of your request for which the new custodian/trustee cannot be held accountable. Forms that are incomplete will not be processed. This form should be used for transfers between like account types only.

MAKE SURE YOU:

- ☑ Complete **ALL** required fields on this form.
- ☑ Obtain **ALL REQUIRED SIGNATURES** and **SOCIAL SECURITY NUMBERS/TIN** by the required people.
- ☑ Affix the **MEDALLION GUARANTEE STAMP** on this form.
- ☑ Include a copy of the customer's **MOST RECENT STATEMENT**.

2
Submit Form

Clearing through **SEI Private Trust Company**

DTC CLEARING NUMBER 2663

WHAT HAPPENS NEXT?

SEI Private Trust Company will process your transfer request in a timely manner. Time frames for completion of transfers vary depending on types of assets to be transferred and the delivering Firm's agent in the Automated Customer Account Transfer Service (ACATS). Please reference the Pending Transfer screen within the SEI Wealth Platform to obtain a recent status on your transfer

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INSTRUCTIONS

Complete this form to transfer account assets to the new custodian/trustee.

RECEIVING ACCOUNT INFORMATION

as it appears on the SEI Wealth Platform

ACCOUNT NUMBER

ACCOUNT NAME

PORTFOLIO NAME

RECEIVING ACCOUNT TYPE *check one*

- ☐ Single
- ☐ Joint
- ☐ Beneficiary IRA
- ☐ Trust
- ☐ Estate
- ☐ Direct Rollover
- ☐ Simple IRA
- ☐ Roth IRA
- ☐ Qualified Plan
- ☐ Corporate
- ☐ IRA
- ☐ Other *(specify below)*

DELIVERING ACCOUNT INFORMATION

(transferring "from")

FIRM NAME

ACCOUNT NAME

ACCOUNT NUMBER

DELIVERING ACCOUNT TYPE	SS# OR TAX ID #
CONTACT NAME	CONTACT TELEPHONE

OVERNIGHT ADDRESS OF FIRM

NOTE: A complete copy of the account's most recent statement must be included with this form to have the assets transfer.

TRANSFER TYPE *check one*

- ☐ Full Account Transfer-in-Kind
- ☐ Full Account Liquidation
- ☐ Other (Complete Liquidation/ In-Kind Worksheet)

If liquidated, provide liquidation date

MUTUAL FUND DIVIDEND & CAPITAL GAIN OPTIONS

check one

- ☐ Reinvest Both
- ☐ Dividend Cash/Capital Gains Reinvest
- ☐ Cash Both
- ☐ Use account's Mutual Fund Reinvestment Preference (default)

TRANSACTION EXPLANATION

***SPTC Default:** Received from (delivering Custodial Name) ACCT (Delivering Account Number)*

CUSTOM EXPLANATION *(maximum of 50 characters)*

AGREEMENT AND SIGNATURES I understand that to the extent any assets in my account are not readily transferable, with or without penalties; such assets may not be transferred within the time frames required by the FINRA Rule 11870 of the Association's Uniform Practice Code or similar rule of another designated examining authority.

Unless otherwise indicated in the instruction above, I authorize you to liquidate any money market fund assets that are part of my account and to transfer the remaining balance, if any to the successor custodian/trustee. I also understand there might be outstanding fees as well as transfer or wire charges and possible debit balances in my account that must be paid to allow the transfer of my assets and closing of my account with you, and therefore authorize you to charge my account with you or the successor custodian/trustee to the extent necessary to satisfy those obligations, provided the total charge does not exceed $500.00.
If certificates or other instruments in my account are in your physical possession, I instruct you to transfer them in good deliverable form, including affixing any necessary tax waivers, to enable the successor custodian/trustee to transfer them in its name for the purpose of sale, when and as directed by me.
Upon receiving a copy of this transfer instruction, the carrying organization will cancel all open orders for my account on its books.

Signatures and SS#s or Tax ID#s Required for Processing

CLIENT\TRUSTEE NAME *please print*	CLIENT\TRUSTEE SIGNATURE
DATE	SS# or Tax ID#
JOINT CLIENT\CO-TRUSTEE NAME *please print*	JOINT CLIENT\CO-TRUSTEE SIGNATURE
DATE	SS# or Tax ID#

MEDALLION GUARANTEE STAMP
required for processing

SPTC Authorized Signature
Bank/Trust Company Representative

AUTHORIZED NAME *please print*	DATE
AUTHORIZED SIGNATURE	

Must be authorized to instruct Free Movement transactions at SEI Private Trust Company.

The authorized signor hereby does accept the above account as successor custodian/trustee.

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Partial Liquidation/In-kind Worksheet

Use this form when completing a **partial transfer of assets in-kind** or **liquidating specific assets** only. Use a duplicate page if additional space is needed.

If assets are to be liquidated, please provide the liquidation date on page 2 (Transfer Type).

EACH WORKSHEET MUST BE SIGNED BY THE CLIENT(S) AND SIGNATURE GUARANTEED.

RECEIVING ACCOUNT INFORMATION
as it appears on the SEI Wealth Platform

ACCOUNT NUMBER

ACCOUNT NAME

DELIVERING ACCOUNT INFORMATION
(transferring "from")

FIRM NAME

ACCOUNT NUMBER

Specific Quantity or **ALL**	Cost Basis	Tax Acq. Date	Asset ID	Security Description	Liquidate	Transfer In-Kind
ALL ☐					☐	☐
ALL ☐					☐	☐
ALL ☐					☐	☐
ALL ☐					☐	☐
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Signatures Required for Processing

CLIENT\TRUSTEE NAME *please print*	CLIENT\TRUSTEE SIGNATURE	DATE
JOINT CLIENT\CO-TRUSTEE NAME *please print*	JOINT CLIENT\CO-TRUSTEE SIGNATURE	DATE

MEDALLION GUARANTEE STAMP
required for processing

PACIFIC PREMIER TRUST
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Toll Free: 800-962-4238
PacificPremierTrust.com

ALTERNATIVE ASSET TRANSFER / ROLLOVER ADDENDUM

IMPORTANT INFORMATION

- **Only complete this section if you are transferring, rolling over, converting or liquidating alternative assets from the resigning account. Please include a recent copy of your account statement which shows the assets you are transferring.**
- It is the responsibility of the current Administrator/Custodian to handle the re-registration of any investment it is holding in your account (e.g., IRA, 401(k), profit-sharing plan, etc.) that you wish to move. **If you have more than two investments in this account, please photocopy this section and complete it for each investment.**
- All investments are subject to an administrative review by Pacific Premier Trust. Please provide the documents and/or Pacific Premier Trust forms, listed on page 6, specific to your asset type to facilitate our administrative review. Pacific Premier Trust will contact you if additional signatures or documents are required by the third party executing the transfer.[2]

INVESTMENT TYPE (CHOOSE ONE):

☐ Private Equity ☐ Promissory Note ☐ Secured Note ☐ Real Estate ☐ Other: ______________________

TRANSFER/ROLLOVER/CONVERSION:

INVESTMENT NAME*	NO. OF SHARES TO BE TRANSFERRED*	TOTAL CURRENT ESTIMATED DOLLAR VALUE*	PERCENTAGE OF OWNERSHIP*
			%

INVESTMENT CONTACT INFORMATION:

COMPANY NAME*	CONTACT NAME*

CONTACT DEPARTMENT*	EMAIL ADDRESS*	PRIMARY PHONE NO.*

COMPANY MAILING ADDRESS*

CITY*	STATE/PROVINCE*	COUNTY*	POSTAL CODE*

INVESTMENT TYPE (CHOOSE ONE):

☐ Private Equity ☐ Promissory Note ☐ Secured Note ☐ Real Estate ☐ Other: ______________________

TRANSFER/ROLLOVER/CONVERSION:

INVESTMENT NAME*	NO. OF SHARES TO BE TRANSFERRED*	TOTAL CURRENT ESTIMATED DOLLAR VALUE*	PERCENTAGE OF OWNERSHIP*
			%

INVESTMENT CONTACT INFORMATION:

COMPANY NAME*	CONTACT NAME*

CONTACT DEPARTMENT*	EMAIL ADDRESS*	PRIMARY PHONE NO.*

COMPANY MAILING ADDRESS*

CITY*	STATE/PROVINCE*	COUNTY*	POSTAL CODE*

[2] To avoid delays in processing your request, at least one of these sections must be completed in its entirety. All assets that are to be transferred must be listed individually in its appropriate section. Please attach copies of account statements from the current Trustee/Custodian collecting the assets to be transferred. If you have physical certificates in your possession, you must send the actual certificates in negotiable form to Pacific Premier Trust with this document.

ACCOUNT OWNER ACKNOWLEDGMENTS WITH REGARD TO INVESTMENTS TO BE TRANSFERRED OR ROLLED OVER

i. I understand that I am responsible, and Pacific Premier Trust and its related entities are not responsible, for selecting and reviewing the above investment(s) and for determining the suitability, nature, value, risk, safety and merits of the investment(s) that I authorize Pacific Premier Trust to hold in my Account.

ii. I understand that Pacific Premier Trust and its related entities are not related to or affiliated with the management or selling agent(s) of the investment(s) that I have directed Pacific Premier Trust to hold for my account. I acknowledge that Pacific Premier Trust has not reviewed, recommended or commented on the investment(s) merits, risks, suitability or management of the asset(s) I have selected and I authorize Pacific Premier Trust to process this transfer or rollover request. I therefore agree to release, indemnify, defend, and hold Pacific Premier Trust and its related entities harmless from any claims arising out of such investment(s) or otherwise. I also understand and agree that Pacific Premier Trust and its related entities will not be responsible for taking any action should the investment(s) noted herein become subject to default, including fraud, insolvency, bankruptcy, or other court order or legal process.

iii. I agree that any dispute regarding my investment(s) shall be submitted to binding arbitration pursuant to the terms of the Custodial Agreement.

iv. I have consulted my own attorney and hereby represent that Pacific Premier Trust may hold title to any Note and/or its collateral, where applicable. I hereby represent to Pacific Premier Trust that I understand the risks involved with my deed of trust/mortgage/secured note investment(s), specifically that there may be liability above and beyond the amount of the investment(s) in the collateral property (e.g., ad valorem property taxes on the property or liability arising under Environment Laws). The losses will include any losses caused by, or arising out of, the presence, on or about the Property, of any Hazardous Substances, or any person or entity complying or failing to comply with any Environment Law. The term "Environmental Law" means any law, rule, regulation, or ordinance relating to protection of the environment or human health. The term "Hazardous Substance" means any substance defined as hazardous or toxic, or otherwise regulated by any Environmental Law.

v. I represent that my purchase of any investment(s) I am transferring to Pacific Premier Trust was not a prohibited transaction as defined in the Internal Revenue Code and Department of Labor regulations.

vi. I understand all the routine real estate expenses (e.g., tax bills, insurance premiums, homeowner's association payments and utility bills) received by Pacific Premier Trust may automatically be paid from my account. I understand that it is my responsibility to ensure all billing parties have the correct mailing address on file. I will be responsible with monitoring my account activity to confirm that all necessary expenses related to my real estate investment have been processed. I understand that sufficient funds must be held in my uninvested cash account to make the required payment at least 14 days prior to the payment's due date. If the funds are not available, I will be responsible for any interest or penalties incurred. Invoices for non-routine expenses (e.g., repairs and improvements) require specific Account Owner authorization prior to payment from the account.

vii. If I have appointed a property manager for real estate held in my account, Pacific Premier Trust will not be responsible for errors and omissions in the management agreement or for any actions taken by the property manager. I also acknowledge that on an annual basis, I will be asked to provide an updated valuation for each real estate investment within my account(s).

REQUIRED MINIMUM DISTRIBUTION NOTICE

Effective January 1, 2023, the SECURE 2.0 Act of 2022 has changed the age requirement for Account Owners to take their first Required Minimum Distribution (RMD) from 72 to 73. This applies only to individuals reaching 73 after December 31, 2022. If you reached age 72 in 2022, you are still subject to RMD requirements, and must take your first distribution (for 2022) no later than April 1, 2023 and your second distribution (for 2023) by December 31, 2023.

I certify that I have read the applicable section for the transaction I have chosen, and understand and agree to all terms.

ACCOUNT OWNER'S SIGNATURE REQUIRED

In the case of a transfer or direct rollover, the current Trustee/Custodian is authorized to send cash and/or assets to Pacific Premier Trust as specified.

For the transfer of an inherited IRA, I certify that I am the sole beneficiary of the asset(s) requested and agree to hold Pacific Premier Trust and its related entities harmless in the event that any other beneficiary makes a claim against this account.

In the case of a rollover, I understand it is my sole responsibility to determine the validity of any rollover contribution and to initiate and make such rollover deposit; I irrevocably elect to roll over the asset(s) in this transaction.

I hereby agree to indemnify and hold harmless Pacific Premier Trust and its officers, directors, shareholders, agents, employees, and Pacific Premier Trust's related entities for any and all costs, obligations, losses, claims, damages and expenses (including reasonable attorneys' fees) related to or associated with this agreement.

I acknowledge that there may be a minimum cash requirement, applicable to accounts containing alternative assets, disclosed in the Fee Schedule. If this requirement is not met, I understand that additional fees may apply.

____
Account Owner Signature

____
Date

NOTE: Your current Custodian may require that your signature be Medallion Signature Guaranteed. Please contact your current custodian for details of their requirements. A Medallion Signature Guarantee may generally be obtained from your brokerage firm, bank or other financial institution. Pacific Premier Trust's Medallion Signature Guarantee is only a guarantee of Pacific Premier Trust's acceptance signature, and is not guaranteeing the Account Owner's Signature.

NON-DEPOSIT INVESTMENT PRODUCTS ARE NOT INSURED BY THE FDIC; ARE NOT DEPOSITS OR OTHER OBLIGATIONS OF, OR GUARANTEED BY, THE BANK OR ANY OF ITS DIVISIONS; AND ARE SUBJECT TO INVESTMENT RISKS, INCLUDING POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.

DELIVERY INSTRUCTIONS

☐ CHECK

Make checks payable to:
Pacific Premier Trust, Custodian
FBO (Client Name), Pacific Premier Trust Account Number
FIS – Processing Center
Attn Pacific Premier Trust
PO Box 981012
Boston, MA 02298

Register Physical Securities, Non-Networked Eligible Mutual Funds & Limited Partnerships:
Pacific Premier Trust, Custodian FBO (Client Name), IRA
FIS – Processing Center
Attn Pacific Premier Trust
PO Box 981012
Boston, MA 02298

☐ ACH

Receiving Bank: Pacific Premier Bank
ABA No.: 322285781
A/C No.: 8000211010
For Further Credit to: (Client's PPT Account Registration)
A/C No.: (Client's Pacific Premier Trust Account Number)

☐ WIRE

Wiring Instructions for Cash:
Receiving Bank: Wells Fargo Bank, NA
Receiving Bank Address: San Francisco, CA
ABA No.: 121000248
For Credit to: Pacific Premier Trust
A/C No.: 4268601440
For Further Credit to: (Client's PPT Account Registration)
A/C No.: (Client's Pacific Premier Trust Account Number)

Overnight Delivery Address
FIS-Remittance Processing
Loading Dock #2
Attn:Pacific Premier Trust
10 Dan Road
Canton, MA 02021